

LIMITED LIABILITY COMPANY
STATE OF MAINE
COMMERCIAL REGISTERED AGENT
STATEMENT OF
APPOINTMENT or CHANGE
(for a Maine or Foreign LLC)
NEW ENGLAND AQUA VENTUS, LLC

(Name of Maine or Foreign Limited Liability Company)

File No. 20200258FC Pages 1
Fee Paid \$ 35
DCN 2202112310052 AGNT
FILED
07/28/2020


Deputy Secretary of State

A True Copy When Attested By Signature

Deputy Secretary of State

Pursuant to 5 MRSA §§105 & 108, the undersigned limited liability company executes and delivers the following statement of appointment or change of a commercial registered agent.

FIRST: The name and address of the current registered agent appearing on the record in the Secretary of State's office:

C T CORPORATION SYSTEM

(name of current registered agent)

128 STATE ST #3 AUGUSTA, ME 04330

(physical street address, city, state and zip code)

SECOND: The new CRA Public number is: _____ P10041

The name of the new CRA is: _____ COGENCY GLOBAL INC.

THIRD: Pursuant to 5 MRSA §§105.2 & 108.3, the new commercial registered agent listed above has consented to serve as the registered agent for this limited liability company.

FOURTH: (For foreign limited liability companies only)

Jurisdiction of organization: _____ DELAWARE

Date authorized to transact business in the State of Maine: _____ 09/11/2019

Dated _____ July 9, 2020

*By _____
(authorized signature)

Yoshihisa Watanabe

(type or print name and capacity)

(General Counsel & Chief Compliance Officer of

*Pursuant to 31 MRSA §1676.1B, this statement **MUST** be signed by a person authorized by the limited liability company. *Diamond Generating LLC)*
The execution of this certificate constitutes an oath or affirmation under the penalties of false swearing under 17-A MRSA §453.

Please remit your payment made payable to the Maine Secretary of State.

Submit completed form to: **Secretary of State**
Division of Corporations, UCC and Commissions
101 State House Station, Augusta, ME 04333-0101
Telephone Inquiries: (207) 624-7752 Email Inquiries: CEC.Corporations@Maine.gov

Form No. MLLC-3-CRA 7/1/2011

Maine Secretary of State



2020 Annual Report Electronic Filing Acknowledgment

For Limited Liability Companies on file as of December 31, 2019

Charter Number: 20200258FC
DCN Number: 2200019165799
Legal Name: NEW ENGLAND AQUA VENTUS, LLC

Registered Agent's Name and Address:

C T CORPORATION SYSTEM
128 STATE ST #3
AUGUSTA, ME 04330

Home Office Address:

633 WEST FIFTH STREET, SUITE 2700
LOS ANGELES, CA 90071

Brief statement of the character of the business:

ENTITY FOR POTENTIAL WIND POWER GENERATION PROJECT.

Name and Address of Members, Managers or other Authorized Persons:

DIAMOND GENERATING LLC
633 WEST FIFTH STREET, SUITE 2700, LOS ANGELES CA 90071

Date of Filing: June 23, 2020

Name and Capacity of Authorizing Party:

YOSHIHISA WATANABE, CHIEF COMPLIANCE OFFICER OF DIAMOND GENERATING
LLC

**FOREIGN
LIMITED LIABILITY COMPANY**

STATE OF MAINE

**STATEMENT OF FOREIGN QUALIFICATION
TO CONDUCT ACTIVITIES**

New England Aqua Ventus, LLC

(Name of Limited Liability Company in Jurisdiction of Organization)

File No. 20200258FC Pages 4
Fee Paid \$ 250
DCN 2192542290044 QUAL
----FILED-----
09/11/2019

Jake L. Flynn
Deputy Secretary of State

A True Copy When Attested By Signature

Deputy Secretary of State

Pursuant to 31 MRSA §1622, the undersigned limited liability company executes and delivers the following Statement of Foreign Qualification:

FIRST: If the name of the limited liability company in the jurisdiction of organization does not contain one of the words or abbreviations required by 31 MRSA § 1508.1 ("limited liability company" or "limited company" or the abbreviation "L.L.C.," "LLC," "L.C." or "LC" or, in the case of a low-profit limited liability company, "L3C" or "l3c"), the proposed name to be used in this State in compliance with this requirement is: * (If not applicable, so indicate.)

SECOND: If the name of the limited liability company in the jurisdiction of organization is unavailable pursuant to 31 MRSA §1508, the fictitious name under which it seeks authority to conduct activities in the State of Maine is: (If not

(mailing address if different from above)

FOURTH: The foreign limited liability company is a foreign limited liability company as defined in 31 MRSA §1502.11.

FIFTH: The nature of the business or purpose(s) to be conducted or promoted in the State of Maine is:

Wind Power

Form No. MLLC-12 (1 of 3)

SIXTH: The Registered Agent is a: (select either a Commercial or Noncommercial Registered Agent)

Commercial Registered Agent CRA Public Number: P10000

C T Corporation System
(name of commercial registered agent)

Noncommercial Registered Agent

(name of noncommercial registered agent)

(physical location, not P.O. Box – street, city, state and zip code)

(mailing address if different from above)

SEVENTH: Pursuant to 5 MRSA §105.2, the registered agent listed above has consented to serve as the registered agent for this limited liability company.

EIGHTH: The name and business, residence and mailing address of each manager (if any):

NAME	ADDRESS
<u>New England Aqua Ventus Holdings, LLC</u>	<u>633 West Fifth Street, Suite 2700, Los Angeles, CA 90071</u>
_____	_____
_____	_____

Names and addresses of additional managers are attached as Exhibit _____, and made a part hereof.

NINTH: The date on which the foreign limited liability company commenced or expects to commence conducting activities in the State of Maine is Upon filing.

TENTH: Check only if applicable

This is a professional limited liability company qualified pursuant to 13 MRSA Chapter 22-A to provide the following professional services (see 13 MRSA, chapter 22-A for information on what constitutes professional services):

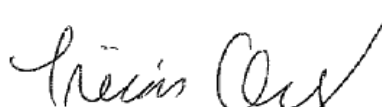
(type of professional services)

ELEVENTH: (Check if applicable)

- The foreign limited liability company is governed by an agreement that establishes or provides for the establishment of designated series having separate rights, powers or duties with respect to specified property or obligations of the foreign limited liability company or profits and losses associated with specified property or obligations. Additional information required pursuant to MRSA 31 §1622.2.J are attached hereto as Exhibit _____, and made a part hereof.

TWELFTH: This statement of qualification is accompanied by a certificate of existence or such other document that the Secretary of State determines to be suitable for purposes of proving the valid existence of the foreign limited liability company under the law of the State or other jurisdiction listed in item Third. The certificate or other document must not have been issued more than 90 days before the delivery of this statement to the office of the Secretary of State.

Dated September 10, 2019


(Authorized Signature**)

Tricia Church, Authorized Person

(Type or print name and capacity)

*The limited liability company name as used in the State of Maine must contain one of the following: "limited liability company" or "limited company" or the abbreviation "L.L.C.," "LLC," "L.C." or "LC" or, in the case of a low-profit limited liability company, "L3C" or "Bc" – see 31 MRSA 1508). If the limited liability company's name in its jurisdiction of organization complies with 31 MRSA § 1508 with the addition of these words, then no fictitious name filing is required pursuant to 31 MRSA §§ 1622.2.A and 1624.1.

****Statement MUST be signed by at least one authorized person (31 MRSA §1676.1B).**

The execution of this statement constitutes an oath or affirmation under the penalties of false swearing under 17-A MRSA §453.

Please remit your payment made payable to the Maine Secretary of State.

Submit completed form to:

**Secretary of State
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101 State House Station
Augusta, ME 04333-0101**

Telephone Inquiries: (207) 624-7752

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Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "NEW ENGLAND AQUA VENTUS, LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TENTH DAY OF SEPTEMBER, A.D. 2019.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "NEW ENGLAND AQUA VENTUS, LLC" WAS FORMED ON THE NINTH DAY OF SEPTEMBER, A.D. 2019.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE BEEN ASSESSED TO DATE.



7599105 8300

SR# 20196952897

You may verify this certificate online at corp.delaware.gov/authver.shtml

Handwritten signature of Jeffrey W. Bullock in black ink, written over a horizontal line.

Jeffrey W. Bullock, Secretary of State

Authentication: 203562953

Date: 09-10-19

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(authorized signature)

Yoshihisa Watanabe

(type or print name and capacity)

(General Counsel & Chief Compliance Officer of

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EIGHTH: The name and business, residence and mailing address of each manager (if any):

NAME	ADDRESS
<u>New England Aqua Ventus Holdings, LLC</u>	<u>633 West Fifth Street, Suite 2700, Los Angeles, CA 90071</u>
_____	_____
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SR# 20196952897

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Jeffrey W. Bullock, Secretary of State

Authentication: 203562953

Date: 09-10-19

Maine Secretary of State



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Charter Number: 20200258FC
DCN Number: 2200019165799
Legal Name: NEW ENGLAND AQUA VENTUS, LLC

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DIAMOND GENERATING LLC
633 WEST FIFTH STREET, SUITE 2700, LOS ANGELES CA 90071

Date of Filing: June 23, 2020

Name and Capacity of Authorizing Party:

YOSHIHISA WATANABE, CHIEF COMPLIANCE OFFICER OF DIAMOND GENERATING
LLC